

NATIONAL DTR ACCREDITATION – SUMMARY OF ASSESSMENTS (as at 1/11/2012)

| | National DTR Cash Equities | National DTR Derivatives |
|---------------------|----------------------------|--------------------------|
| Number of Questions | 50 | 50 |
| Duration | 2 hours | 2 hours |
| Reading Time | 15 minutes | 15 minutes |
| Multiple Choice | Yes | Yes |
| Open/Closed Book | Closed | Closed |
| Pass Mark | 80% (40/50) | 80% (40/50) |
| Supervisor Required | Yes | Yes |
| CPD Hours | 4 (Compliance) | 4 (Compliance) |

Oral Governors Assessments

| | National DTR Cash Equities | National DTR Derivatives |
|----------|----------------------------|--------------------------|
| Duration | Allow 1 hour | Allow 1 hour |