Stockbrokers And Financial Advisers Association Limited

## SAFAA CPD READING & RESEARCH REGISTER

You have a professional obligation to yourself, your organisation and your clients to participate in Continuing Professional Development (CPD), tailored to meet your own needs.
The underlying principal of CPD is that it must improve one's ability to undertake their job by extending and maintaining their knowledge, skills and integrity.

This SAFAA CPD Reading and Research Register template may assist in keeping records of CPD undertaken.

| Date/Time | Description or Title of Activity<br>eg. Reading and research of internal research report | Objective of Activity<br>eg. Research<br>eg. Analysis & interpretation | Outcome<br>eg. Identified emerging issues<br>eg. Identified new applications of regulations or standards<br>eg. Determined the effect the changes will have on clients; incorporation of those changes<br>eg. Developed a clearer understanding of specific market etc | CPD Hours *<br>(1 hr = 1 hr CPD)<br>**note: maximum of 6 hours per year may be claimed as CPD* |
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*Note: the SAFAA CPD Training Register also forms part of this CPD Reading and Research Register*