

ENROLMENT FORM

Use BLOCK letters when completing this form and please retain a copy for your records.

1. PERSONAL INFORMATION AND CONTACT DETAILS:

Candidate ID (if known):	<input type="checkbox"/> Male	<input type="checkbox"/> Female	
Practitioner Member:	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Post Nominal (<i>for existing members only</i>):	<input type="checkbox"/> MeSAFAA	<input type="checkbox"/> MSAFAA	<input type="checkbox"/> AfSAFAA
Title (<i>Mr, Mrs, Ms, Miss, Other</i>):	First Name:		
Middle Name:	Surname:		
Date of Birth (<i>optional</i>):			
Home Address:			
	State:	Postcode:	
Home Telephone Number:	Mobile Number:		

EMPLOYMENT DETAILS:

Position Title:		
Organisation Name:		
Organisation Street Address:		
	State:	Postcode:
Preferred Postal Address:		
	State:	Postcode:
Organisational Direct Number:		
Email Address:		

Note: Any materials including Certificates etc will be sent to Preferred Postal Address (as per above)

2. SELECTION & FEES

(All fees are quoted in Australian Dollars)

Subject Enrolment	Individual Member	Member Firm	Non-Member
Core 1 (RG146): Securities & Managed Investments Accreditation	<input type="checkbox"/> \$790	<input type="checkbox"/> \$790	<input type="checkbox"/> \$990
Elective: Accredited Derivatives Adviser Level 1 (ADA1)	<input type="checkbox"/> \$370	<input type="checkbox"/> \$380	<input type="checkbox"/> \$390
Elective: Accredited Derivatives Adviser Level 2 (ADA2)	<input type="checkbox"/> \$320	<input type="checkbox"/> \$330	<input type="checkbox"/> \$340
Elective: Accredited Derivatives Adviser Level 1 & 2 (ADA 1 & ADA 2) <i>Note: Candidates have twelve (12) months to complete ADA 1 and ADA 2 when enrolling at the same time (not three (3) months as per single accreditation enrolments)</i>	<input type="checkbox"/> \$690	<input type="checkbox"/> \$710	<input type="checkbox"/> \$730
Elective: Margin Lending Accreditation	<input type="checkbox"/> \$280	<input type="checkbox"/> \$280	<input type="checkbox"/> \$280
Elective: Margin Lending Accreditation + Skills	<input type="checkbox"/> \$370	<input type="checkbox"/> \$380	<input type="checkbox"/> \$390
Elective: Superannuation Accreditation	<input type="checkbox"/> \$470	<input type="checkbox"/> \$490	<input type="checkbox"/> \$520
Elective: Foreign Exchange	<input type="checkbox"/> \$470	<input type="checkbox"/> \$490	<input type="checkbox"/> \$520
DTR Cash Equities Accreditation	<input type="checkbox"/> \$590	<input type="checkbox"/> \$590	<input type="checkbox"/> \$590
DTR Derivatives Accreditation	<input type="checkbox"/> \$590	<input type="checkbox"/> \$590	<input type="checkbox"/> \$590
Responsible Executive (RE) Markets Exam	<input type="checkbox"/> \$350	<input type="checkbox"/> \$350	<input type="checkbox"/> \$350
Responsible Executive (RE) Clearing & Settlement Exam	<input type="checkbox"/> \$350	<input type="checkbox"/> \$350	<input type="checkbox"/> \$350
Responsible Executive (RE) Markets, Clearing & Settlement (Combined Exam)	<input type="checkbox"/> \$600	<input type="checkbox"/> \$600	<input type="checkbox"/> \$600
Re-sit: Exam <input type="checkbox"/> Core 1 Exam <input type="checkbox"/> ADA 1 Exam <input type="checkbox"/> ADA 2 Exam <input type="checkbox"/> Margin Lending Exam <input type="checkbox"/> Superannuation Exam <input type="checkbox"/> Foreign Exchange Exam <input type="checkbox"/> Markets RE Exam <input type="checkbox"/> Clearing & Settlement Exam <input type="checkbox"/> Markets, Clearing & Settlement Exam (Combined) <input type="checkbox"/> DTR Cash Equities Exam/Oral <input type="checkbox"/> DTR Derivatives Exam/Oral	<input type="checkbox"/> \$250	<input type="checkbox"/> \$250	<input type="checkbox"/> \$250
Re-mark: Assignment/Skills <input type="checkbox"/> Core 1 Assignment <input type="checkbox"/> ADA 1 Assignment <input type="checkbox"/> Superannuation Assignment <input type="checkbox"/> Margin Lending Skills	<input type="checkbox"/> \$250	<input type="checkbox"/> \$250	<input type="checkbox"/> \$250
TOTAL:	\$	\$	\$

3. COMPULSORY APPOINTMENT OF AN INDEPENDENT SUPERVISOR

All online examinations require a supervisor to be appointed at the time of enrolment. Please refer to the Supervisor Guidelines on our website. It is **compulsory that the Supervisor's Business Address and Telephone Number** be provided. Please note that the independent supervisor nominated by the candidate to supervise an online examination must be:

- A suitable person at a government recognised tertiary institution or school, (e.g. Teacher, Senior Administrator, Librarian)
- A suitable person at the Australian Consulate, (e.g. a Training or Education Officer) a Minister of Religion, Justice of the Peace
- Doctor, Lawyer, Accountant, Police Officer, Human Resources Manager
- A Compliance Officer
- The HR Manager or Training Manager at the candidate's place of work

Supervisor's Title (<i>Mr, Mrs, Ms, Miss, Other</i>):		
Supervisor's First Name:	Supervisor's Surname:	
Supervisor's Position Title:		
Supervisor's Organisation:		
Supervisor's Business Address (Compulsory):		
	State:	Postcode:
Supervisor's Email:		
Supervisor's Telephone Number (Compulsory):		
Supervisor's Mobile Number:		

4. PAYMENT DETAILS

All fees must be paid at the time of enrolment.

Credit Card:	<input type="checkbox"/> Amex	<input type="checkbox"/> Visa	<input type="checkbox"/> Mastercard
Amount Payable: \$			
Name of Cardholder:			
Account Number:			
Signature:		Expiry Date:	

This registration form will serve as a Tax Invoice for GST purposes when you make payment

ALL ENQUIRIES & ENROLMENT FORMS SHOULD BE DIRECTED IN THE FIRST INSTANCE TO:

Stockbrokers And Financial Advisers Association Limited (ACN 089 767 706)
Level 6, 56 Pitt Street, Sydney NSW 2000
Telephone: (02) 8080 3200
Fax: (02) 8080 3299
Email: education@stockbrokers.org.au
Website: www.stockbrokers.org.au

SAFAA CANCELLATION/REFUND POLICY

Cancellation requests must be emailed to rego@stockbrokers.org.au

An administration fee of \$110 applies.

Substitutes are welcome within the enrolment period.

Fees will not be refunded if a candidate withdraws after study notes have been dispatched or student access to Subject Rooms has been activated, except under the following circumstances:

- where the candidate's application for enrolment is declined by the Association
- where the Association cancels an accreditation in which the candidate has enrolled or where the commencement of the accreditation is postponed by more than four weeks.
- *Force majeure*