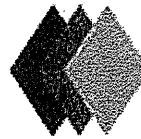


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13 DEC 2017

MeSAFAA



Stockbrokers
And Financial Advisers
Association Limited

Practitioner Membership Application for employees of a Principal Member of SAFAA

Practitioner Membership is offered to persons authorised to provide advice under an AFSL.

1. Applicant Details

Title Mr First Name(s) Matthew Leon ASIC Adviser No. 001236072
 Surname BOOSE Date of Birth 2/5/74 ASIC Adviser No. ~~1236072~~
 Home Address 58 WARSON AVE
ROSE PARK State SA Postcode 5067
 Mobile 041 7876308
 Work email (for all communications) mboose@shawandpartners.com.au
 Private email (in the event you cease to be an employee) mattyboose@gmail.com

2. Employer Details

Name SHAW & PARTNERS Ltd.
 Your position Senior Investment Advisor
 Address Level 21/25 Grenfell Street
Adelaide State SA Postcode 5000
 Work Telephone (08) 71096018
 Name of AFSL Holder SHAW & PARTNERS AFSL No. 236048
 Your Authorised Representative No. (if applicable) 1236072

3. Membership Categories

There are two Practitioner Membership Categories, Member (MeSAFAA) and Master (MSAFAA).

Eligibility criteria appear below.

Please select the appropriate Membership category for which you are applying.

Membership Categories	Select
A. Member i. I am on the ASIC Financial Advisers Register ii. I am an employee of a Principal Member of SAFAA iii. I have employment experience providing professional advice and/or services in an operations or management capacity within the stockbroking and financial advisory industry. I also hold an appropriate tertiary qualification and/or recognised industry certification. Any advice that is provided by me is provided under a financial services licensing arrangement that meets current legislative requirements.	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>



**Stockbrokers
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Association Limited**

B. Master Member		
i. I am on the ASIC Financial Advisers Register	<input type="checkbox"/>	
ii. I am an employee of a Principal Member of SAFAA	<input type="checkbox"/>	
iii. I am currently a Responsible Executive ...OR... I have at least 7 years experience in total within the last 10 years in an advising, operations or management capacity with one or more Market Participants or Principal Members of the Stockbrokers And Financial Advisers Association. Any advice that is provided by me is provided under a financial services licensing arrangement that meets current legislative requirements.	<input type="checkbox"/>	
Tax (Financial) Advisers	Yes	No
i. I am registered with the Tax Practitioners Board as a Tax (Financial) Adviser	<input type="checkbox"/>	<input type="checkbox"/>

4. Membership Requirements - Please confirm you meet the following requirements

Go in the process of.

Membership Requirements	Yes
i. I meet the Stockbrokers And Financial Advisers Association guidelines for good fame and character. Please complete declaration on Page 4.	<input checked="" type="checkbox"/>
ii. I meet the Stockbrokers And Financial Advisers Association Membership Knowledge & Skills Requirement. Please complete declaration on Page 5.	<input checked="" type="checkbox"/>
iii. Under the Stockbrokers And Financial Advisers Association's Code of Ethical Conduct, Members must obtain such professional indemnity insurance cover as may be required by law or any applicable rules. I confirm I am covered by Professional Indemnity Insurance.	<input checked="" type="checkbox"/>

5. Applicant Declaration

I confirm that the information supplied in this application is complete and correct. I understand that any false or misleading information may lead to loss of membership.

I agree to read and be bound by the terms and conditions of the Stockbrokers And Financial Advisers Association Constitution, Rules, Code of Ethical Conduct, and any policies and guidelines issued by the Stockbrokers And Financial Advisers Association (as amended from time to time).

I will undertake appropriate annual Continuing Professional Development as prescribed by the Stockbrokers And Financial Advisers Association.

I agree to be subject to the Stockbrokers And Financial Advisers Association Conduct Review and Disciplinary System.

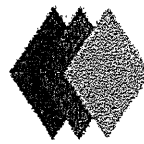
Signed by applicant *[Signature]* Date 13/12/17.

Signed by one of the following from your firm:

Director / Company Secretary / Responsible Executive / Responsible Officer / Compliance Officer

Signed *[Signature]* Date 13/12/17

Name: DAW STOWETT



Good Fame & Character Declaration

I Matthew Boose declare that within the last ten years, within Australia or Overseas, I have not been:

- refused the right, or been restricted in the right, to carry on any trade, business or profession for which a licence, registration, or authority is required by Law
- suspended from membership, or disciplined, by any securities, stock, futures, commodity, or other exchange
- refused membership by any securities, stock, futures, commodity, or other exchange
- refused membership of, or disciplined by, any professional body
- the subject of any findings, judgement or current proceeding, including findings, in relation to fraud, misrepresentation or dishonesty, in any administrative, civil, or criminal proceeding in any country
- convicted of an offence pursuant to the Corporations Act, or ASIC Act (or previous corresponding laws)
- the subject of any ASIC banning order, disqualification, or enforceable undertaking
- found not to be of good fame & character by ASIC (s. 913B), or any other regulatory agency relating to financial services
- engaged in the management of any company/business that have had a Corporations Act 2001 licence (or previous corresponding laws) registration revoked or suspended
- found to have hindered, obstructed or misled, or was not candid or truthful with, a regulatory agency relating to financial services
- found to be obstructive, misleading or untruthful in dealing with a court, tribunal, official inquiry, complaints handling body, dispute resolution body, or professional or industry body relating to financial services
- declared bankrupt

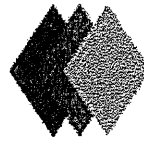
Applicant Signature Matthew Boose Date 13/12/17

Witness

Name Adam Boon

Address 4 Burnbank Grove, Athelstone 5076

Signature ABOON Date 13/12/17



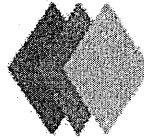
Membership Knowledge & Skills Requirement

	Select one or more of the following:	Evidence attached
<input checked="" type="checkbox"/>	1. Holds a university degree. The Board will consider the appropriateness of degrees on a case by case basis. <i>B.COM</i>	<input type="checkbox"/>
<input type="checkbox"/>	2. Has successfully completed a Stockbrokers And Financial Advisers Association approved industry short course (including the Stockbrokers And Financial Advisers Association Professional Diploma in Stockbroking and/or the (former) SDIA Accreditation Program)	<input type="checkbox"/>
<input checked="" type="checkbox"/>	3. Other qualification/s – please specify: <i>graduate diploma in Financial Planning.</i>	<input type="checkbox"/>
<input checked="" type="checkbox"/>	4. In relation to registered Tax (Financial) advisers – I have the equivalent of six years of full-time experience in providing tax (financial) advice in the past 8 years	<input type="checkbox"/>

The following is also required:

- I attach a one page resume outlining:
 - my academic record
 - industry qualifications
 - employment history

Please note: This application will not be accepted unless countersigned on page 2 by one of the following from your firm: Director, Company Secretary, Responsible Executive, Responsible Officer or Compliance Officer.



Use of the Term 'Stockbroker'
(Only applies to representatives of Market Participants)

If you wish to refer to yourself as a 'stockbroker' or 'sharebroker', please ensure that your employer completes the following declaration.

The use of these terms is specifically prohibited by the Corporations Act 2001 unless authorised by ASIC in your employer's AFSL, and by the employer to you).

YES / NO *(delete one)*

Employer Declaration

Matthew Boase (applicant full name) is a Representative or
Authorised Representative of Show and Partners Ltd (AFSL Licensee full name),
AFSL Number 236048 who has been authorised to use the term
'stockbroker' or 'sharebroker' in the above Australian Financial Services License by ASIC.

In accordance with Corporations Act s923B(1)(c), we hereby authorize Matthew Boase
(applicant full name) to use the term 'stockbroker' or 'sharebroker', or any word or expression that is of like meaning.

For and on behalf of Show and Partners Ltd (AFSL Licensee full name)

Signature [Signature]

Name DAN JOWETT

Capacity *(delete one)*

Director / Secretary / Responsible Executive / Responsible Officer / Compliance Officer

Date 13/12/17

Diem Tran

From: Matthew Boase <MBoase@shawandpartners.com.au>
Sent: Wednesday, 13 December 2017 3:39 PM
To: Membership
Subject: Membership application - M Boase
Attachments: 13122017142710-0001.pdf

Good afternoon

Please find my application attached.

Re Education:

I hold a B. Com - University of SA
I hold a Grad Dip in Fin Planning - FINSIA

Work Experience:

Hartleys 1999 - 2003 - Client Advisor
Macquarie 2003-2015 - Senior Client Advisor
Shaw and Partners -2015 - current - Senior Client Advisor

Please let me know should you need anything else.

Matthew

Important information

This email is solely for the use of the addressee and may contain information which is confidential.
Any content within this email including attachments are subject to the terms and conditions of Shaw and Partners Limited's (ABN 24 003 221 583) disclaimer as viewable at: <http://www.shawandpartners.com.au/disclaimer>.
If you are not the intended recipient, please forward this email to enquiries@shawandpartners.com.au and delete the original.



ASIC
Australian Securities &
Investments Commission



Details: Matthew Boase

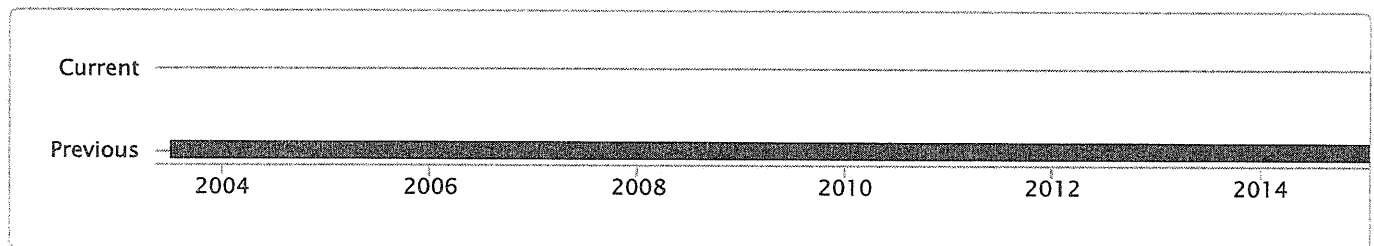


Summary

Status:	Current
First provided advice:	1999
Name:	Matthew Boase
Number:	001236072
Other role:	<u>Authorised Representative</u>
ABN:	-

Appointment timeline

Summary of financial adviser's appointments. See below for further details.



Current appointment(s)

Financial adviser's current appointment details, including product areas the financial adviser can provide advice on.

For business name details, click on the Australian Business Register (ABN).

6/10/2015 - ongoing

SHAW AND PARTNERS LIMITED (licence holder)

Number: 000236048

ABN: 24 003 221 583

Controlled by: -

Matthew Boase can advise on the following product areas

- Provide financial product advice
 - Deposit and Payment Products
 - Deposit and Payment Products - Non-basic Deposit Products
 - Managed Investment Schemes
 - Managed Investment Schemes, including IDPS
 - MDA Services
 - Securities
 - All Securities
 - Miscellaneous Financial Facility
 - Miscellaneous Financial Investment Products - MDA Services
 - Miscellaneous Financial Investment Products

Previous appointment(s)

This section provides the financial adviser's appointment history back to March 2010. For business name details, click on the ABN to go to the Australian business register and view any related business names.

1/7/2003 - 19/9/2015

MACQUARIE EQUITIES LIMITED (licence holder)

Number: 000237504

ABN: 41 002 574 923

Controlled by: MACQUARIE GROUP LIMITED

Disciplinary actions

The banning orders and disqualifications made against the financial adviser, and any enforceable undertaking accepted by ASIC from the financial adviser.

No banning or disqualifications recorded.

Qualifications and training

This section shows which qualifications or training courses the adviser has completed that are relevant to providing financial services.

Year: 2012
Name: ICFS Specialist SMSF Course
Provider: University of Adelaide

Year: 2007
Name: Graduate Diploma in Financial Planning
Provider: Securities Institute

Year: 1999
Name: Bachelor of Commerce
Provider: University of South Australia

Memberships

This section tells you if the adviser is a member of any professional bodies or industry associations relevant to providing financial services.

- FINSIA